



# Investment Checklist for PRECIOUS METALS

Use this checklist and the attached *Investment Direction* to direct a purchase, sale or exchange of Precious Metals.

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| <p><b>Precious Metals Permitted in an IRA</b></p>  | <p>The following precious metals are permitted to be held in IRAs under Internal Revenue Code 408(m)(3)(A)(i)-(iv) and 408(m)(3)(B).</p> <ul style="list-style-type: none"> <li>• <b>Gold Coins:</b> American Eagle bullion and proof, American Buffalo bullion (no proofs), Australian Kangaroo/Nugget, Austrian Philharmonic, and Canadian Maple Leaf.</li> <li>• <b>Silver Coins:</b> American Eagle bullion and proof, America the Beautiful bullion (no proofs), Australian Kookaburra, Austrian Philharmonic, Canadian Maple Leaf, Mexican Libertad</li> <li>• <b>Platinum Coins:</b> American Eagle bullion and proof, Australian Koala, Canadian Maple Leaf, Isle of Man Noble</li> <li>• <b>Gold, Silver, Platinum and Palladium Bars and Rounds:</b> If produced by a refiner/assayer/manufacturer accredited/certified by NYMEX/COMEX, NYSE/Liffe, LME, LBMA, LPPM, TOCOM, ISO 9000, or national government mint that meets minimum fineness requirements: gold 99.5%, silver 99.9%, platinum 99.95% and palladium 99.95%.</li> </ul> |
| <p><b>Funding of your Precious Metals</b></p>      | <ul style="list-style-type: none"> <li>• Your Precious Metals IRA should be established and funded <b>prior</b> to placing your purchase of precious metals with your selected dealer.</li> <li>• Before making any precious metals investment, you should perform the necessary due diligence on the dealer. You can find helpful consumer education information on the U.S. Mint's website (<a href="http://www.usmint.gov">www.usmint.gov</a>) and the American Numismatic Association's website (<a href="http://www.money.org">www.money.org</a>).</li> <li>• Review the dealer's invoice for accuracy and sign it.</li> <li>• Obtain the estimated date/timeframe for delivery from your dealer and include it in section 6 on the attached Investment Direction.</li> <li>• The dealer is instructed to deliver your precious metals to Delaware Depository Service Company (DDSC).</li> </ul>  |
| <p><b>Storage of your Precious Metals</b></p>      | <p>Self Directed IRA Services, Inc. has designated Delaware Depository Service Company (<a href="http://www.delawaredepository.com">www.delawaredepository.com</a>) as its precious metals depository. You may select commingled or segregated storage of your metals and you will be responsible for the storage and any related fees. DDSC will notify us when delivery has been received and verified and we will update your account to reflect the receipt of metals.</p>   |
| <p><b>Valuation of your Precious Metals</b></p>    | <p>The values reflected by Self Directed IRA Services, Inc. for precious metals will reflect estimated bid values. Estimated bid values should be used as an indication only and should not be construed as a firm bid price to buy by any broker or dealer. Estimated bid values are generally for small quantities; the actual quantity of a transaction may affect the price received for any given bullion item. Estimated bid values do not include any dealer mark-ups, mark-downs or commissions. Proof coins must be encapsulated in complete, original mint packaging, including certificate of authenticity, and in excellent condition. Non-proof coins must be in Brilliant Uncirculated condition and free from damage. Price indications for specific bullion products may be obtained from various sources including your precious metals broker dealer or on the internet at sites such as <a href="http://www.BullionValues.org">www.BullionValues.org</a>.</p>   |
| <p><b>Statements and Online Account Access</b></p> | <p>Self Directed IRA Services, Inc. will provide quarterly account statements to you, either as a paper statement mailed to you or online. You may also choose to view your account online using our <b>Account Access</b> feature on our website at <a href="http://www.SDIRAServices.com">www.SDIRAServices.com</a>.</p>   |
| <p><b>Questions</b></p>                            | <p>If you have questions regarding the precious metals investment process or this form, please contact our Client Services Team at 512.637.5739 or 866.928.9394, or by email to <a href="mailto:info@SDIRAServices.com">info@SDIRAServices.com</a>.</p>  |

Submit your completed and signed Investment Direction form to us.



Self Directed IRA Services, Inc.  
P.O. Box 685133  
Austin, TX 78768



Self Directed IRA Services, Inc.  
600 Congress Avenue, Suite 400  
Austin, TX 78701



Fax the dealer's invoice and the completed/signed Investment Direction to 512.495.9554.



- Purchase  
- continued-

I authorize and direct Self Directed IRA Services, Inc. to wire funds for this purchase to the Dealer indicated using the wiring instructions provided below.

| WIRE PURCHASE FUNDS TO: |                  | A wire fee of \$25 will be charged. |  |
|-------------------------|------------------|-------------------------------------|--|
| Bank Name               |                  |                                     |  |
| Bank Phone              |                  |                                     |  |
| Bank Location           | City             | State                               |  |
| ABA Routing #           | Must be 9 digits | _____                               |  |
| For Credit To           | Account Name     |                                     |  |
|                         | Account #        |                                     |  |
| For Further Credit To   | Account Name     |                                     |  |
|                         | Account #        |                                     |  |

- Exchange

I instruct Self Directed IRA Services, Inc. to authorize the exchange of precious metals per the instructions provided below. I understand that Shipping Fees will be incurred and I will be responsible for payment, as indicated in section 5.

| METALS OUT |                             | METALS IN |                             |
|------------|-----------------------------|-----------|-----------------------------|
| Quantity   | Description of Metals/Coins | Quantity  | Description of Metals/Coins |
|            |                             |           |                             |
|            |                             |           |                             |
|            |                             |           |                             |

| DELIVERY INSTRUCTIONS |   |       |     |
|-----------------------|---|-------|-----|
| Deliver To            | Dealer's FTI Account #  |       |     |
| Deliver By            | <input type="radio"/> FedEx Overnight <input type="radio"/> FedEx 2-Day <input type="radio"/> US Postal Service |       |     |
| Shipping Address      | Street Address  |       |     |
|                       | City  | State | Zip |

- Liquidate/Sale

I instruct Self Directed IRA Services, Inc. to authorize the liquidation/sale of precious metals per the instructions provided below. I understand that Shipping Fees will be incurred and I will be responsible for payment, as indicated in section 5. Shipping costs will vary based on weight, packaging requirements, handling, insurance and postage.

| Quantity     | Specific Description of Metals/Coins | Amount |
|--------------|--------------------------------------|--------|
|              |                                      |        |
|              |                                      |        |
|              |                                      |        |
|              |                                      |        |
| <b>TOTAL</b> |                                      |        |

| MUST PROVIDE DELIVERY INSTRUCTIONS |   |       |     |
|------------------------------------|---|-------|-----|
| Deliver To                         | Dealer's FTI Account #  |       |     |
| Deliver By                         | <input type="radio"/> FedEx Overnight <input type="radio"/> FedEx 2-Day <input type="radio"/> US Postal Service |       |     |
| Shipping Address                   | Street Address  |       |     |
|                                    | City  | State | Zip |

Note: Please contact us to obtain the necessary form if closing this IRA account.

**5 Indicate how your IRA and storage fees are to be handled.**

- Fees

Specify how you would like your IRA and storage fees to be handled.

| FEE                                     | WHEN FEE WOULD BE INCURRED                    | INDICATE HOW YOU WANT TO HANDLE FEE PAYMENT  |
|---|---|--|
| \$25 Wire Fee                           | If making a Purchase                          | <input type="radio"/> Check enclosed<br><input type="radio"/> Deduct from my IRA account (must have sufficient cash)*<br><input type="radio"/> Charge to credit card** |
| \$40 Processing Fee                     | If making a Purchase, Exchange or Sale        | <input type="radio"/> Check enclosed<br><input type="radio"/> Deduct from my IRA account (must have sufficient cash)*<br><input type="radio"/> Charge to credit card** |
| Depository Storage Fee (see section 2)  | If making an initial Precious Metals purchase | <input type="radio"/> Check enclosed<br><input type="radio"/> Deduct from my IRA account (must have sufficient cash)*<br><input type="radio"/> Charge to credit card** |
| \$85 1st Year IRA Account Fee           | If opening a new IRA account                  | <input type="radio"/> Check enclosed<br><input type="radio"/> Deduct from my IRA account (must have sufficient cash)*<br><input type="radio"/> Charge to credit card** |
| Shipping Fees (amount to be determined) | If requesting an exchange or sale             | <input type="radio"/> Invoice me<br><input type="radio"/> Deduct from my IRA account (must have sufficient cash)*<br><input type="radio"/> Charge to credit card**     |

\* If fees are to be deducted from your account, you must have sufficient funds available within your IRA account. Please keep this in mind and include the appropriate amounts when requesting a specific dollar amount for transfer or rollover.

\*\* If fees are charged to a credit card, you must complete the attached Credit Card Charge Authorization form.

**6 Indicate the estimate date/timeframe for dealer to ship metals to the depository.**

- Shipment to Depository

For purchases or exchanges, specify the estimated date/timeframe for dealer to deliver your precious metals to DDSC.

|  |   |
|--|---|
| <b>Provide the Estimated Date/ Timeframe for Dealer to Deliver Your Precious Metals to DDSC:</b> |   |
| <b>Dealer is to Deliver Precious Metals to:</b>  | Delaware Depository Service Company<br>3601 North Market Street<br>Wilmington, DE 19802<br>For deposit to: Self Directed IRA Services, Inc. Custodian<br>FBO (accountholder name) IRA (account #) |

**7 Sign below to authorize your investment direction.**

I direct Self Directed IRA Services, Inc. ("SDIRA Services") to execute the above Precious Metals transaction with the dealer described above for the benefit of my self directed IRA account ("Account"). In doing so I hereby make the following acknowledgements, agreements and representations:

1. I have evaluated the risks involved with this transaction and have conducted the due diligence I felt appropriate on the dealer I have selected. I am solely responsible for the choice of precious metals dealer and SDIRA Services has made no representations or recommendations with regards to this dealer.
2. I understand that any missing information or incomplete forms may cause delays in processing this direction and in releasing the payment or asset, and I agree that SDIRA Services shall not be responsible for any loss, cost, court cost, expenses or other damages (collectively, "Damages") which may result by any information not provided by me when submitting this investment direction or by any action or inaction by the dealer.
3. If directing a purchase in precious metals, SDIRA Services shall not take any action with regard to this investment direction unless and until my Account has sufficient liquid funds available in my Account to cover the purchase amount and any charges and fees involved with this transaction. I

understand and agree to all the charges and fees due the dealer and Depository in connection with this transaction and storage of my precious metals directed herein, including but not limited to any safekeeping charges, shipping, handling and insurance fees and direct SDIRA Services to pay all such fees and charges from my Account.

4. Payment to the dealer will be made from my Account after verifying this instruction against the dealer's invoice signed by me. SDIRA Services shall have not responsibility or liability if the precious metals received by the Depository do not match those described in this Investment Direction or if there is any delay or failure in delivery by the dealer to the Depository.

5. I certify that the precious metal investment(s) directed herein is/are not prohibited transactions as defined in the Internal Revenue Code; that the investments are in precious metals that are permitted to be held in an IRA pursuant to IRC 408(m)(3)(A)(i)-(iv) and 408(m)(3)(B); and that I have consulted with a legal or tax professional to the extent necessary for me to make this certification. I certify that I have not received any legal or tax advice from SDIRA Services or its employees.

6. In regards to this Investment Direction, I take sole responsibility for the selection and performance, including the future value, of the precious metals purchased, exchanged or sold. I have received no investment or other advice from SDIRA Services or its employees, nor any recommendation as to any purchase, exchange or sale and I agree to hold SDIRA Services harmless from any Damages resulting from its execution of this Investment Direction.

7. SDIRA Services is not responsible for the purity, weight, metal content or authenticity of any coins or bullion purchased. Likewise, SDIRA Services is not responsible for the conduct, action, failure, breach or omission of the dealer or any resulting Damages.

8. I have reviewed the SDIRA fee schedule indicating all fees associated with the purchase, storage, packing and shipping of physical precious metals in my SDIRA Services Account.

9. All precious metals shall be stored at Delaware Depository Service Company, a Wilmington, Delaware-based full service precious metals depository that is licensed by the New York Mercantile Exchange and approved by the Commodity Futures Trading Commission (CFTC). SDIRA Services shall not take physical possession of any precious metals. The Depository shall be solely responsible for the storage and safekeeping of all precious metals. SDIRA Services sole responsibility is to have made a prudent selection in the Depository. I understand and direct SDIRA Services to open the storage account with DDSC in accordance to my elected storage method, Commingled or Segregated. I understand that if I do not indicate a storage option, Commingled Storage will be the default storage option.

10. I understand the values reflected on my Account with SDIRA Services for precious metals shall reflect estimated bid values. Estimated bid values should be used as an indication only and should not be construed as a firm bid price to buy by any broker or dealer. Estimated bid values are generally for small quantities; the actual quantity of a transaction may affect the price received for any given bullion item. Estimated bid values do not include any dealer mark-ups, mark-downs or commissions. Proof coins must be encapsulated in complete, original mint packaging, including certificate of authenticity, and in excellent condition. Non-proof coins must be in Brilliant Uncirculated condition and free from damage. Price indications for specific bullion products may be obtained from various sources including your precious metals broker dealer or on the internet at sites such as [www.BullionValues.org](http://www.BullionValues.org).

11. I understand that an investment in precious metals is dependent on global markets and other factors and there may be fluctuations in price of the precious metals and I direct SDIRA Services to process this transaction on behalf of my Account by way of this Investment Direction despite such fluctuations. I agree to waive any claims that I may have, past, present or future, known or unknown, anticipated or unanticipated with respect to the fluctuation or change in the price or condition of any precious metals.

12. I hereby indemnify and hold harmless SDIRA Services from any Damages arising from or in connection with this Investment Direction.



\_\_\_\_\_  
Accountholder Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
IRA Account # (if known)

07.26.2011

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